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## Finite Möbius Planes of Hering Type I.3 and Related Rank 3 Groups

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### INTRODUCTION

If  $M$  is a finite Möbius plane of order  $m$  and of Hering type I.3, then  $G = \text{Aut}(M)$  acts as a rank 3 group of permutations on the points of a distinguished circle  $k$ . Further if  $A$  is a point of  $k$  then  $G_A$  has orbits of length 1, 1,  $m - 1$  (on the points of  $k$ ) and  $G_A$  contains a normal subgroup  $D_A$  that acts sharply transitively on the long orbit.

In Section 1 we study such permutation groups. Although theorems of Tsuzuku [7] and Hering, Kantor, Seitz (4) may be combined with our Theorem 1 to enumerate all such groups, our application to Möbius planes requires only the relatively elementary argument establishing Theorem 1.

In Section 2 we investigate finite Möbius planes of type I.3 and prove that such a plane must have order 3 or 5. The only such planes are Miquelian [1, p. 273], [6]. Each does admit a collineation group of Hering type I.3.

Thanks are due the referee for correcting the original statement of Theorem 1.

### 1. ON A CLASS OF IMPRIMITIVE RANK 3 GROUPS

This section is devoted to the proof of the following theorem:

**THEOREM 1.** *Let  $G$  be a transitive group of permutations of  $\{1, \dots, n\}$  having subdegrees 1, 1,  $n - 2$ ,  $n > 6$ . Suppose  $N_G(G_1)$  has a normal subgroup  $D_1$  that acts sharply transitively on the long  $G_1$ -orbit. Then*

- (a)  $G$  is the simple group of order 168 and  $n = 14$ , or
- (b)  $D_1$  has an Abelian subgroup of odd order and index 2 in  $D_1$  and  $2 \mid |Z(G)|$ .

An easy application of the theorem of Hering, Kantor, and Seitz [4] shows that a group satisfying (b) must involve  $L_2(q)$  for some odd  $q$ . If

$$G = \left\langle SL_2(q), \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right\rangle,$$

$$G_1 = \left\langle \begin{pmatrix} a^2 & 0 \\ 0 & a^{-2} \end{pmatrix}, \begin{pmatrix} 1 & b \\ 0 & -1 \end{pmatrix} \mid a, b \in GF^*(q) \right\rangle \quad \text{and} \quad q \equiv -1 \pmod{4},$$

then  $G$  provides an example of the situation described in (b).

Our proof relies on the study of a certain class of involutions, called special involutions. We construct a design  $I$  having the special involutions as blocks. If  $I$  is degenerate, either  $n \leq 6$  or case (b) arises. Otherwise we show that  $I$  is intimately related to a Steiner triple system. Theorems of M. Hall, Jr. [3], and J. D. King [5] apply to leave only  $n = 14$  and  $G$  the simple group of order 168.

Suppose  $G$  satisfies the hypothesis of the theorem. Then  $G_1$  fixes a second point  $i \in \{1, \dots, n\}$  and  $G_1 = G_i$ . The set  $\{1, i\}$  is a set of imprimitivity, by Wielandt [8, Theorem 7.4]. Thus  $G$  is an imprimitive rank 3 group and the underlying set  $\{1, \dots, n\}$  may be relabeled so that the sets of imprimitivity are

$$\mathbf{i} = \{i, i + n/2\} = \mathbf{i} + \mathbf{n}/2, \quad i = 1, \dots, n/2.$$

$G$  acts doubly transitively on  $V = \{\mathbf{i} : i = 1, 2, \dots, n/2\}$ .

Call  $x \in D_i$  *special*, if  $x$  leaves invariant at least 2 elements of  $V$  and say that  $\mathbf{i}$  is the *center* of  $x$ .

LEMMA 1. *The special elements in  $G$  form a conjugacy class  $B$  of involutions. Each element of  $V$  other than  $\mathbf{i}$  is fixed by a unique special involution in  $D_i$ .*

*Proof.* Suppose  $x, y \in D_i$  each fix  $\mathbf{j} \neq \mathbf{i}$ . Then  $xy \in D_i$  fixes  $\mathbf{j}$  and by the hypothesis that  $D_i$  acts sharply transitively on the long  $G_i$ -orbit,  $xy = 1$ . This shows that all special elements are involutions and establishes the last sentence of the lemma. Since a special involution is uniquely determined by its center and any other element of  $V$  that it fixes, the 2-transitivity of  $G$  on  $V$  implies that any 2 special involutions are conjugate.

PROPOSITION 1. *Let  $I \subseteq V \times B$  be given by  $I(x) = \{v \mid v \in V \text{ and } v^x = v\}$ . Then  $I$  is a design with parameters  $b = nt/2, v = n/2, r = t(f+1), k = f+1, \lambda = f+1$ , where  $t = (n-2)/2f$  is the number of special involutions in  $D_1$ .*

*Proof.* Since  $I$  is  $G$ -invariant,  $G$  acts transitively on  $B$  and doubly transitively on  $V$ ,  $I$  is a design. Each of the  $t$  special involutions in  $D_1$  fixes  $f = (n-2)/2t$  elements of  $V \setminus \{\mathbf{1}\}$ , so  $k = f+1$ . The "point"  $\mathbf{1}$  is

fixed by exactly one special involution with center  $\mathbf{i}$  for each  $\mathbf{i} \neq \mathbf{1}$ , so  $r = t + (n - 2)/2 = t(f + 1)$ . The remaining values follow from  $v = n/2$  and the familiar relations  $bk = vr$  and  $\lambda(v - 1) = r(k - 1)$ .

LEMMA 2. *Suppose  $x, y \in B$  have distinct centers. Then  $xy = yx$  if and only if  $|I(x) \cap I(y)| \geq 2$ .*

*Proof.* If  $xy = yx$ , then  $x$  leaves invariant the center of  $y$ , and  $y$  leaves invariant the center of  $x$ . Conversely, suppose  $|I(x) \cap I(y)| \geq 2$ . If the center of  $x$  is in  $I(x) \cap I(y)$ , then  $y^{-1}xy$  has the same center as  $x$  and leaves  $I(x) \cap I(y)$  invariant, so Lemma 1 implies that  $y^{-1}xy = x$ . If neither the center of  $x$  nor the center of  $y$  is in  $I(x) \cap I(y)$ , pick  $\mathbf{i} \in I(x) \cap I(y)$ . Then  $x$  and  $y$  normalize  $D_{\mathbf{i}}$  and there is  $d \in D_{\mathbf{i}}$  mapping the center of  $x$  to the center of  $y$ , so  $\langle x, D_{\mathbf{i}} \rangle = \langle y, D_{\mathbf{i}} \rangle$  contains  $D_{\mathbf{i}}$  as a subgroup of index 2. Thus  $xy \in D_{\mathbf{i}}$  and  $xy$  fixes all points in elements of  $I(x) \cap I(y)$ . This implies  $xy = 1$ .

PROPOSITION 2. *In the design  $I$ , either  $\lambda = 2$ , or  $\lambda = 3$  and then  $I$  may be obtained from a Steiner triple system by replicating each block 3 times.*

*Proof.* Choose  $\mathbf{i} \neq \mathbf{j} \in V$  and let  $x, y, z$  be distinct elements in  $(\mathbf{i})I \cap (\mathbf{j})I$ . By Lemma 1,  $x, y, z$  have distinct centers and by Lemma 2,  $x^{-1}yx = y = z^{-1}yz$ . Both  $x$  and  $y$  interchange the points in the center  $\mathbf{k}$  of  $z$  so, as above  $\langle x, D_{\mathbf{k}} \rangle = \langle y, D_{\mathbf{k}} \rangle$ . Since both  $x$  and  $y$  fix  $\mathbf{i}$  and  $\mathbf{j}$ ,  $xy$  is a special involution in  $D_{\mathbf{k}}$ . By Lemma 1,  $xy = z$ . Thus  $\lambda \leq 3$ . In case  $\lambda = 3$  we have  $I(z) = \{\text{center } x, \text{center } y, \text{center } z\}$ . But  $k = \lambda = 3$  so  $I(z) = I(x) = I(y)$ . By Lemma 2,  $\lambda \geq 2$ , so our proof is complete.

*Proof of Theorem 1.* Suppose the design  $I$  has  $\lambda = 2$ . Then a special involution  $x \in D_1$  leaves invariant only one other element  $\mathbf{k}$  of  $V$ , and so  $(n - 2)/2$  is odd. If  $x$  interchanges  $\mathbf{i}, \mathbf{j} \in V$ , then the restriction of  $x$  to  $\{i, i + n/2, j, j + n/2\}$  is an even permutation. Thus  $x$  is an odd permutation on  $\{1, \dots, n\}$ . Hence  $D_1 \cap A_n$  ( $A_n$  is the alternating group on  $n$  letters) has odd order and is of index 2 in  $D_1$ . Thus  $D_1 \cap A_n$  contains no special elements and so  $D_1 \cap A_n$  acts sharply transitively on  $V \setminus \{\mathbf{1}\}$ . By Gorenstein [2, 10.1.4],  $x$  acts as a fixed point free automorphism of  $D_1$ ,  $D_1 \cap A_n$  is Abelian, and  $x^{-1}dx = d^{-1}$  for each  $d \in D_1 \cap A_n$ .

If  $y$  is a special involution normalizing  $D_1$  with center  $\mathbf{k}$ , then  $y$  also inverts  $D_1 \cap A_n$ . Thus  $xy$  is an involution, by Lemma 2, and centralizes  $D_1 \cap A_1$ . It follows that  $xy$  acts trivially on  $V$  and is in  $Z(G)$ .

Suppose that  $I$  is a nondegenerate Steiner triple system  $I$  in which each block is replicated 3 times. Then, from Proposition 2, each block is fixed pointwise by a Klein 4 group, each of whose involutions necessarily fixes no other element of  $V$ . By Hall [3, Theorem 3.2] each triangle in  $I$  generates

a Fano plane. It follows directly (see, for example Hall's proof of Theorem 4.2 [3]) that  $I$  is the incidence structure of points and lines in a projective space  $P$  over the Galois field with 2 elements. Since  $B$  is a  $G$ -orbit and each special involution in  $G$  fixes exactly 3 elements of  $V$ , by a result of J. D. King [5, Theorem 2] either  $P$  is a plane and  $G \cong L_3(2)$  or  $P$  has dimension 3 and  $G \cong A_7$  or  $L_4(2)$ . Of these 3 groups only the first satisfies the hypothesis of our theorem and it satisfies (a) of the conclusion.

If  $I$  is a degenerate Steiner triple system (just one line), then  $n = 6$ .

## 2. FINITE MÖBIUS PLANES OF HERING TYPE I.3

In this section we prove the following theorem:

**THEOREM 2.** *There are no finite Möbius planes of Hering type I.3 and only the Miquelian planes of order 3 and 5 admit a collineation group of this type.*

We assume only the basic theory of Möbius planes found in Dembowski [1, Chap. 6].

Let  $M$  be a finite Möbius plane of order  $m > 5$  possessing a collineation group of Hering type I.3. Let  $k$  be the distinguished circle with a pairing  $\sigma$  on the points of  $k$  so that the group is  $(x, x^\sigma)$ -transitive for just these pairs. Let  $D_x$  denote the group of all  $(x, x^\sigma)$ -dilatations and let  $G = \langle D_x \mid x \in k \rangle$ .

Since  $G$  is a group of Hering type I.3, the pairs  $\{x, x^\sigma\}$  are sets of imprimitivity for the action of  $G$  on  $k$ . This action satisfies the hypothesis of Theorem 1. We show that this action is faithful and that  $G$  has trivial center and that the necessary orbit structure of  $G$  on  $M \setminus k$  can only occur if  $m = 3$  or 5 in which case  $M$  is necessarily Miquelian.

**LEMMA 3.** *The  $G$  orbits on  $M \setminus k$  have size  $\frac{1}{2}(m-1)^2$ ,  $\frac{1}{2}(m^2-1)$  or  $m^2-m$ .*

*Proof.* If  $a \notin k$  and  $x \in k$ , then  $D_x$  maps  $a$  to each of the  $m-1$  points on  $a \setminus x^\sigma$  different from  $x, x^\sigma$ . If  $y \notin \{x, x^\sigma\}$ , then  $D_y$  maps  $a$  to points of at least  $(m-1)/2$  distinct circles in  $[x, x^\sigma]$ . Thus  $(m-1)^2/2 \leq |a^G|$  and  $(m-1) \mid |a^G|$ . Since  $m > 5$ ,  $3(m-1)^2/2 > |M \setminus k|$ , so  $G$  has at most 2 orbits on  $M \setminus (k)$ . The result follows.

**LEMMA 4.** *Each element of  $G$  is an even permutation.*

*Proof.* Since  $G$  is generated by dilatations, it suffices to show each  $g \in D_x$  is an even permutation. Suppose  $g \in D_x$  has order  $n$ . Then  $g$  fixes  $x, x^\sigma$ , all

circles in  $[x, x^\sigma]$  and acts semiregularly on the remaining points of each such circle. Thus  $g$  has  $(m+1)((m-1)/n)$   $n$ -cycles in its cycle decomposition and fixes the remaining two points of  $M$ . Since  $m$  is odd,  $(m+1)((m-1)/n)$  is even.

LEMMA 5. *If  $1 \neq g \in Z(G)$  then either  $g$  or  $g^2$  is the inversion with axis  $k$ .*

*Proof.* The set  $F$  of all fixed points of  $g$  is a union of  $G$ -orbits by [6, Theorem 10.3]. If  $d \in D_x$ ,  $x \in k$ , then  $x^{gd} = x^{dg} = x^g$  so  $d$  fixes  $x^g$ . Thus  $x^g \in \{x, x^\sigma\}$  and  $g^2$  fixes every point of  $k$ . If  $g^2$  is not the inversion with axis  $k$ , then  $g^2 = 1$ . If  $F = \emptyset$ ,  $g$  has  $(m^2+1)/2$  2-cycles, contrary to Lemma 4. Lemma 3 and [1, 4, p. 269] imply that  $F$  is the set of points on  $k$ , so the conclusion follows from [1.8a, p. 259].

LEMMA 6.  *$G$  does not contain the inversion with axis  $k$ .*

*Proof.* Suppose  $g \in G$  is the inversion on  $k$ . Then  $g$  acts on the affine plane  $M_{x^\sigma}$  as an affine homology. Since  $m$  is odd there is an involution  $d \in D_x$ . The collineation  $dg$  is also an affine homology of  $M_{x^\sigma}$ , by [1, p. 269 and p. 272]. Since  $g$  and  $dg$  commute the axis of  $dg$  is the line  $l$  joining  $x$  to the ideal point which is the center of  $g$ , and the center of  $dx$  is the ideal point on  $k$ . Since there is a unique inversion of  $M$  with a given axis, there is a unique involution in  $D_x$ . This involution necessarily fixes each pair  $\{y, y^\sigma\}$  on  $k$ . Thus if  $d \in D_x$ ,  $h \in D_y$  are the involutions in  $D_x, D_y$ , respectively,  $dh$  is an involution by Lemma 2. But  $dh$  is a dilatation [1, p. 272] and has exactly two fixed points. Since  $dh$  fixes all points of  $k$  except  $x, x^\sigma, y, y^\sigma$  there are at most 6 points on  $k$  so  $m \leq 5$ .

*Proof of Theorem 2.* By Lemma 6,  $G$  acts faithfully on  $k$  and  $Z(G) = 1$  by Lemma 5. Therefore, conclusion (a) of Theorem 1 holds and  $m = 13$ . The length of a  $G$ -orbit of points must divide  $|G|$ , so  $G$  must have two point orbits on  $M \setminus k$  and  $72 = (m-1)^2/2 \mid |G| = m^2 - 1 = 168$  by Lemma 3. This contradiction implies that a finite Möbius plane admitting a collineation group of Hering type I.3 has order  $m = 3$  or 5.

In case  $m = 3$ ,  $M$  is Miquelian by [1, p. 273] and  $G$  is a dihedral group of order 8. Any such group in  $\text{PSL}_2(9) \leq \text{Aut}(M)$  is of Hering type I.3.

In case  $m = 5$ , Krier [6] has shown that  $M$  must be Miquelian. Represent  $M$  as the points of an elliptic quadric in projective 3-space over the Galois field with 5 elements. Let  $k$  be a circle of  $M$ . Then the group  $H$  of collineations of  $M$  leaving  $k$  invariant acts on the plane cutting  $M$  in  $k$  as the orthogonal group  $O_3(5) \cong \text{PGL}_2(5) \cong S_5$ . Any subgroup of  $H$  that is isomorphic to the symmetric group on 4 letters is of Hering type I.3.

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